

## AMENDMENT TRANSMITTAL LETTER

Docket No.  
M3477.0000/P011Application No.  
09/507,466Filing Date  
February 22, 2000Examiner  
T. NguyenArt Unit  
2872

Applicant(s): David R. Brown

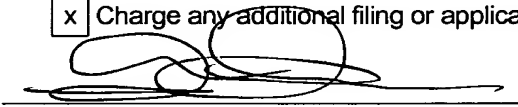
Invention: OPTICAL DEVICE, SYSTEM AND METHOD

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	15	- 20 =		x	0.00
Independent Claims	3	- 3 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month					400.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					400.00

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☒ Payment by credit card. Form PTO-2038 is attached.☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073  
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.  
Christopher S. Chow  
Attorney Reg. No.: 46,493DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
2101 L Street NW  
Washington, DC 20037-1526  
(202) 775-4756

Dated: September 19, 2002

TECHNOLOGY CENTER 2800

SEP 23 2002

RECEIVED

2872

Please type a plus sign inside this box



PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	09/507,466
		Filing Date	February 22, 2000
		First Named Inventor	David R. Brown
		Group Art Unit	2872
		Examiner Name	T. Nguyen
Total Number of Pages in This Submission		Attorney Docket Number	M3477.0000/P011

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input checked="" type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		

RECEIVED  
 SEP 23 2002  
 TECHNOLOGY CENTER 2800

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Christopher S. Chow
Signature	
Date	September 19, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 400.00

## Complete if Known

Application Number	09/507,466
Filing Date	February 22, 2000
First Named Inventor	David R. Brown
Examiner Name	T. Nugyen
Group Art Unit	2872
Attorney Docket No.	M3477.0000/P011

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

 Deposit Account Number: 04-1073  
 Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	Utility filing fee	
		106	330	Design filing fee	
		107	510	Plant filing fee	
		108	740	Reissue filing fee	
		114	160	Provisional filing fee	
SUBTOTAL (1)				(\$)	0.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	15	-20** =		x	Fee from below	=	0.00
Independent Claims	3	-3** =		x	Fee from below	=	0.00
Multiple Dependent				x	Fee from below	=	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	Claims in excess of 20	
		102	84	Independent claims in excess of 3	
		104	280	Multiple dependent claim, if not paid	
		109	84	** Reissue independent claims over original patent	
		110	18	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)	0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	Surcharge - late filing fee or oath	
		127	50	Surcharge - late provisional filing fee or cover sheet	
		139	130	Non-English specification	
		147	2,520	For filing a request for ex parte reexamination	
		112	920*	Requesting publication of SIR prior to Examiner action	
		113	1,840*	Requesting publication of SIR after Examiner action	
		115	110	Extension for reply within first month	
		116	400	Extension for reply within second month	400.00
		117	920	Extension for reply within third month	
		118	1,440	Extension for reply within fourth month	
		128	1,960	Extension for reply within fifth month	
		119	320	Notice of Appeal	
		120	320	Filing a brief in support of an appeal	
		121	280	Request for oral hearing	
		138	1,510	Petition to institute a public use proceeding	
		140	110	Petition to revive - unavoidable	
		141	1,280	Petition to revive - unintentional	
		142	1,280	Utility issue fee (or reissue)	
		143	460	Design issue fee	
		144	620	Plant issue fee	
		122	130	Petitions to the Commissioner	
		123	50	Processing fee under 37 CFR 1.17(q)	
		126	180	Submission of Information Disclosure Stmt	
		581	40	Recording each patent assignment per property (times number of properties)	
		146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
		149	740	For each additional invention to be examined (37CFR 1.129(b))	
		179	740	Request for Continued Examination (RCE)	
		169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 400.00

## SUBMITTED BY

Name (Print/Type) Christopher S. Chow

Registration No. (Attorney/Agent) 46,493

## Complete (if applicable)

Telephone (202) 775-4756

Signature

Date

September 19, 2002

 RECEIVED  
 SEP 23 2002  
 TECHNOLOGY CENTER 2800